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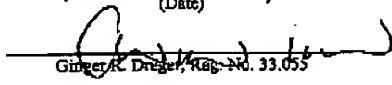
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GNE.2630P1C5

PATENT

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Applicant	:	Ashkenazi et al.)	Group Art Unit Unknown
Appl. No.	:	09/978,187)	CERTIFICATE OF FAX TRANSMISSION
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Applicants hereby request that the Official Filing Receipt, a copy of which is enclosed, be corrected to reflect the true filing date of October 15, 2001. Presently, the Filing Receipt incorrectly shows the filing date as January 28, 2002, the date Applicants filed a response to the Notice to Comply with Requirements mailed December 12, 2001 in the form of an Amendment and a Sequence Submission Statement (copies attached hereto). As set forth in the Sequence Submission Statement, the submitted Sequence Listing did not include new matter. Thus, it is respectfully requested that the filing date of this application should remain October 15, 2001, and

Appl. No. : 09/978,187
Filed : October 15, 2001

action to that end is respectfully requested.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: Sept. 30, 2002

By: 

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/978,187	01/26/2002 10/15/2001	1647	740	GNE.2630P1C5	237	13	2

CONFIRMATION NO. 4242

30313

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UPDATED FILING RECEIPT



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Assignment For Published Patent Application

Genentech, Inc.;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/918,585 07/30/2001
WHICH IS A CON OF 09/040,220 03/17/1998 PAT 6,391,311
AND IS A CON OF 09/105,413 06/26/1998 ABN
AND IS A CON OF 09/168,978 10/07/1998
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Foreign Applications

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Title

Secreted and transmembrane polypeptides and nucleic acids encoding the same

Preliminary Class

514

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UTILITY/DESIGN PATENT

Date:

01/28/02

12/12/01

Docket #: GNE.2630P1C5 Applicant: Ashkenazi et al.Title: Secreted and transmembrane polypeptides and nucleic acids encoding the sameApp No.: 09/978,187 Filed: 10/15/01VERIFIED BY: Asst: T. Loupe Attn: GR

- ☒ Transmittal Letter
- ☒ Amendment in 1 Page
- ☒ Sequence Submission Statement
- ☒ Substitute Sequence Listing in 429 pages
- ☒ 1 copy of CRF Containing Substitute Seq Listing
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Sequence Listing

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Gerber, Hanspeter
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Gurney, Austin L.
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Kljasin, Ivar J.
Kuo, Sophia S.
Napier, Mary A.
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PATENT

Case Docket No. GNE.P2630P1C5

Date: January 28, 2002

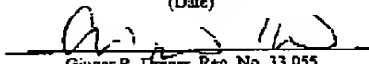
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Ashkenazi et al.
Appl. No. : 09/978,187
Filed : October 15, 2001
For : SECRETED AND
TRANSMEMBRANE
POLYPEPTIDES AND
NUCLEIC ACIDS
ENCODING THE SAME
Examiner : Unknown
Group Art Unit : Unknown

I hereby certify that this correspondence and all
marked attachments are being deposited with the
United States Postal Service as first class mail in an
envelope addressed to: Commissioner for Patents,
Washington, D.C. 20231, on

January 28, 2002

(Date)


Ginger R. Dreger, Reg. No. 33,055

TRANSMITTAL LETTER

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231


Dear Sir:

Enclosed for filing in the above-identified application is the following:

- (X) Amendment;
- (X) Sequence Submission Statement;
- (X) Substitute Sequence Listing;
- (X) Substitute Sequence Listing in computer readable form;
- (X) Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures;

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410; and

- (X) A return prepaid postcard.

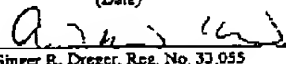

Ginger R. Dreger
Registration No. 33,055
Attorney of Record

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GNE.2630P1C5

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Ashkenazi et al.)	Group Art Unit Unknown
Appl. No.	:	09/978,187)	
Filed	:	October 15, 2001)	I hereby certify that this correspondence and all
For	:	SECRETED AND)	marked attachments are being deposited with the
		TRANSMEMBRANE)	United States Postal Service as first-class mail in
		POLYPEPTIDES AND NUCLEIC)	an envelope addressed to: Commissioner for
		ACIDS ENCODING THE SAME)	Patents, Washington, D.C. 20231, on
)	January 28, 2002
)	(Date)
)	
)	Ginger R. Dreger, Reg. No. 33,055
Examiner	:	Unknown		

AMENDMENT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to the Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures mailed on December 12, 2001, setting a two-months term, Applicants hereby request the entry of the substitute "Sequence Listing" submitted concurrently herewith into the specification, starting on a new page, immediately following the claims. The present substitute Sequence Listing serves to replace the Sequence Listing filed on October 15, 2001.

Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: January 28, 2002

By: 

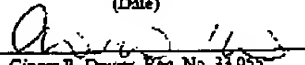
Ginger R. Dreger
Registration No. 33,055
Attorney of Record
620 Newport Center Drive
Sixteenth Floor
Newport Beach, CA 92660

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GNE.P2630P1C5

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Ashkenazi et al.)	Group Art Unit Unknown
Appl. No.	:	09/978,187)	
Filed	:	October 15, 2001)	I hereby certify that this correspondence and all
For	:	SECRETED AND)	marked attachments are being deposited with the
		TRANSMEMBRANE)	United States Postal Service as first-class mail in
		POLYPEPTIDES AND NUCLEIC)	an envelope addressed to: Commissioner for
		ACIDS ENCODING THE SAME)	Patents, Washington, D.C. 20231, on
Examiner	:	Unknown)	<u>January 28, 2002</u>
)	(Date)
)	
)	Ginger R. Dreger, Reg. No. 33,055

SEQUENCE SUBMISSION STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

This is in response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures, mailed December 12, 2001. I hereby state that the amendments, made in accordance with 37 C.F.R. § 1.825(a) and included in the Substitute Sequence Listing submitted herewith, are supported in the application, and that the Substitute Sequence Listing does not include new matter.

I further state that the information recorded in the currently submitted substitute copy of the computer-readable form of the Sequence Listing is identical to the paper form of the Sequence Listing submitted herewith as required in 37 C.F.R. § 1.825(b).

Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: January 28, 2002

By: 

Ginger R. Dreger
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(415) 954-4114

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
WWW.USPTO.GOV

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/978,187	10/15/2001	Avi J. Ashkenazi	P26301C5

CONFIRMATION NO. 4242

FORMALITIES LETTER



0C00000007186575

Ginger R. Dreger, Esq.
Knobbe Martens Olson & Bear
Suite 1150
201 California Street
San Francisco, CA 94111

Date Mailed: 12/12/2001

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE
DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a)

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE